

# Authentication and Key Establishment

in Computer and  
Communication Networks:

## No Silver Bullet

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# My Technical Background (1993-2006)

- Diploma in Computer Technology, 1996, India.
- Bachelors of Engineering in Computer Engineering 1999, Univ. of Mumbai, India.
- Masters of Science in Computer Science, 2002, Univ. of Missouri – Kansas City, USA.
- Certified Information Systems Security Professional (CISSP)
- Ph.D. Candidate in Computer Science, 2006 Univ. of Missouri – Kansas City, USA.
  - Research focus: Security Protocols, Digital Signature



# Ph.D. Committee

- Dr. Lein Harn (Ph.D. Advisor)
- Dr. Yugyung Lee (Ph.D. Co-Advisor)
- Dr. Deep Medhi
- Dr. Xiaojun Shen
- Dr. Khosrow Sohraby



# Outline

- The Problem and Current Research
- Motivation
- Dissertation Research – A Big Picture
- Selected Results from the Research
- Publications
- Ph.D. *beyond* Dissertation Research



# The Problem

- Enable (two or more) entities to communicate securely.



# Who is “entity”?

- Person
- Computer
- PDA
- Software module
- Sensor
- Router
- Access point
- Base station
- Telephone/Cell
- Refrigerator
- Printer
- .....Anyone



# Intuitive Solutions and Challenges

- Pure Symmetric-key solutions
  - Key distribution ( $O(n^2)$ )
  - It is not advisable to use the shared key over and over for multiple sessions.
- Pure Asymmetric-key solutions
  - The operations are computationally too heavy to use for all messages during the communication.



# Better Approach is AKE

- Authentication and Key Establishment (AKE) provides a mechanism to establish a key, called *session key*, between/among participants for each session in an authenticated manner. This session key can be used for securing further communication.





# Goals of AKE

- Mutual belief in each other and the key.
  - User-oriented Goals
    - Participants have fresh assurance that the other participant is a valid peer entity.
  - Key-oriented Goals
    - Key is fresh.
    - Key is known only to the intended parties.



# Current State of Research in AKE

- The problem has been around for many years
- Symmetric key systems have been used for several years (e.g. Kerberos)
- Public key systems make more sense in modern distributed communications environment (IPSec, SSL)
  - Off-line trusted third party
  - Non-repudiation service
- New environments like Wireless networks and Sensor networks bring renewed interest in AKE.



# Motivation

- Research in AKE field has been “spotty”.  
No known attempt to consider the problem as a whole or at a higher level.
- New attacks on existing solutions
- Security assumptions can be proven wrong in future. (MD5 – one of the recent ones !!)
- New set of constraints in new environments (Wireless and Sensor Networks)



# Dissertation Research -A Big Picture

1. Identifying Constraints in AKE Design
  - NINE major constraints identified
2. Categorizing Environments and Proposing Solutions
  - THREE categories of environments
  - SEVEN solutions in total



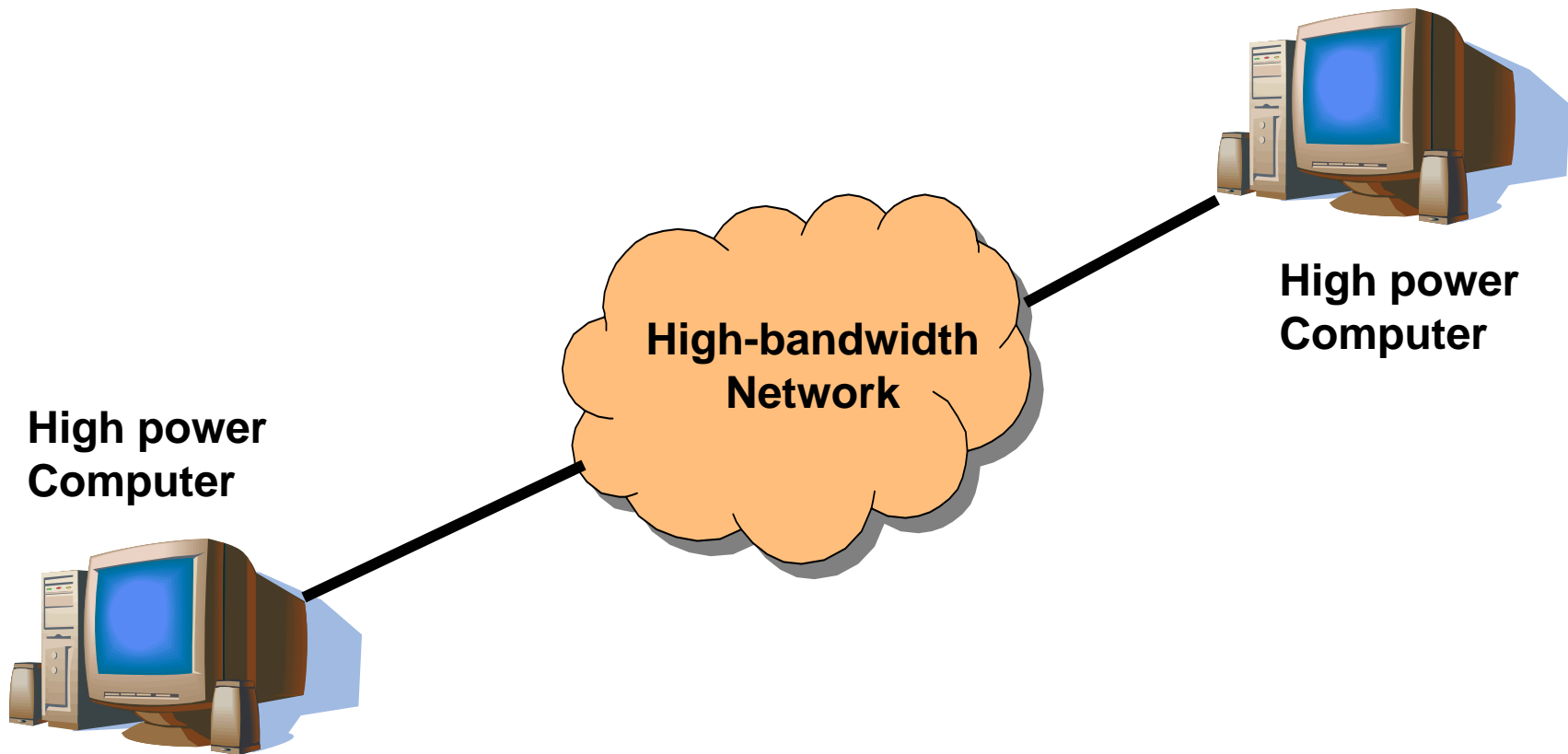
# Identified Constraints

1. Cryptographic Algorithm Strength
2. Number of Cryptographic Assumptions
3. Anonymity/Privacy
4. Computational Capacity
5. Communication Bandwidth
6. Communication Media
7. Energy Consumption
8. Storage Capacity
9. Storage Security

# Categorization – No Silver Bullet !

Categories	Example environment	Constraints	Solutions
1. High-end to High-end	Computer to Computer	1, 2, 3	3 solutions proposed
2. High-end to Low-end	Computer to PDA or Base station to Mobile	1, 2, 3, 4, 5, 6, 8	1 solution proposed
3. Low-end to Low-end	Sensor to Sensor	4, 5, 6, 7, 8, 9	3 solutions proposed

# Category 1 - Setup



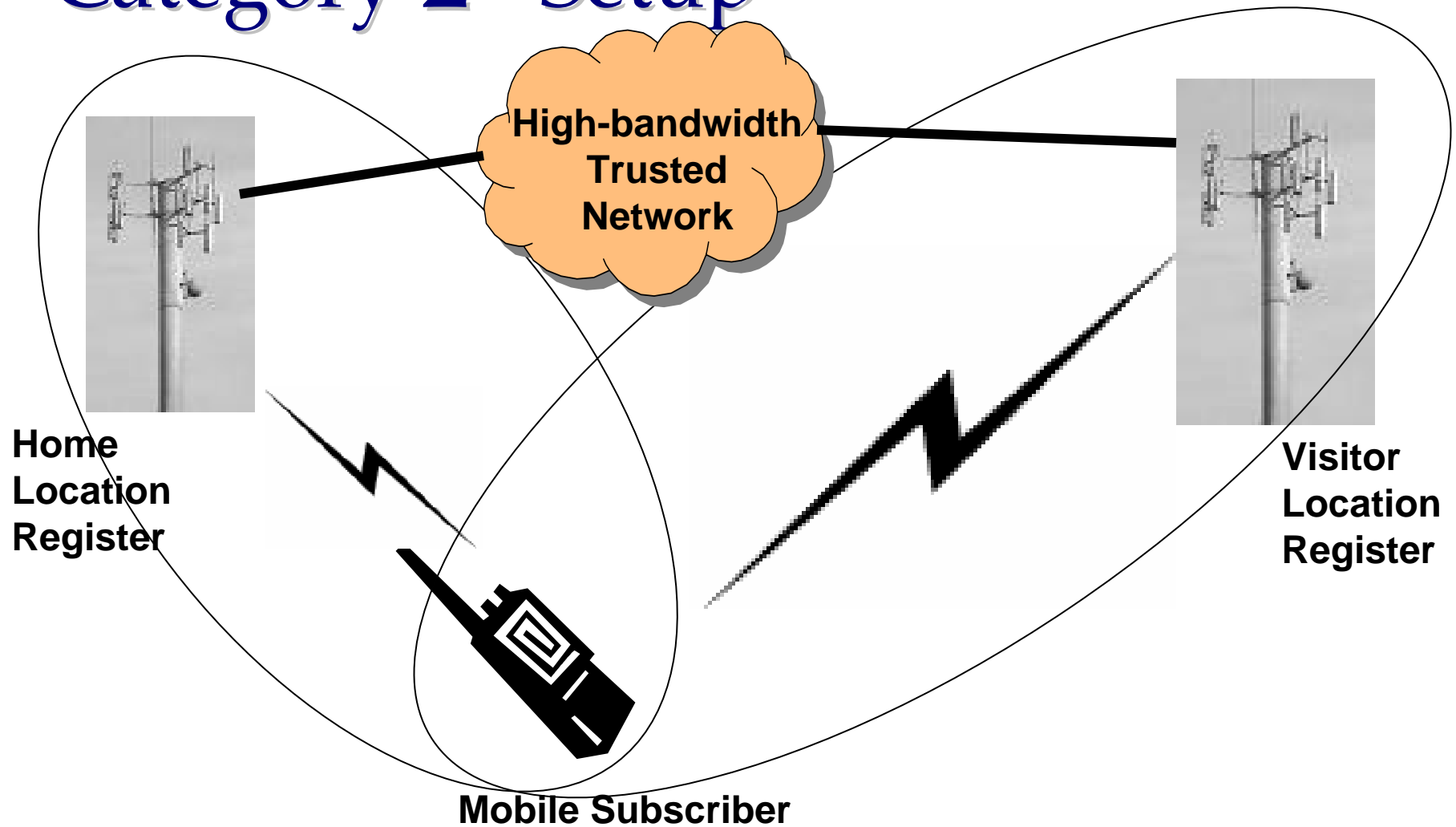



# Category 1 (High-end to High-end)

- Relatively matured research area
- Yet, new attacks are introduced regularly on existing solutions
- Many combinations of cryptographic algorithms are used.
- New services demand more
  - IPSec, SSL, SSH, Web services



# Category 2 - Setup

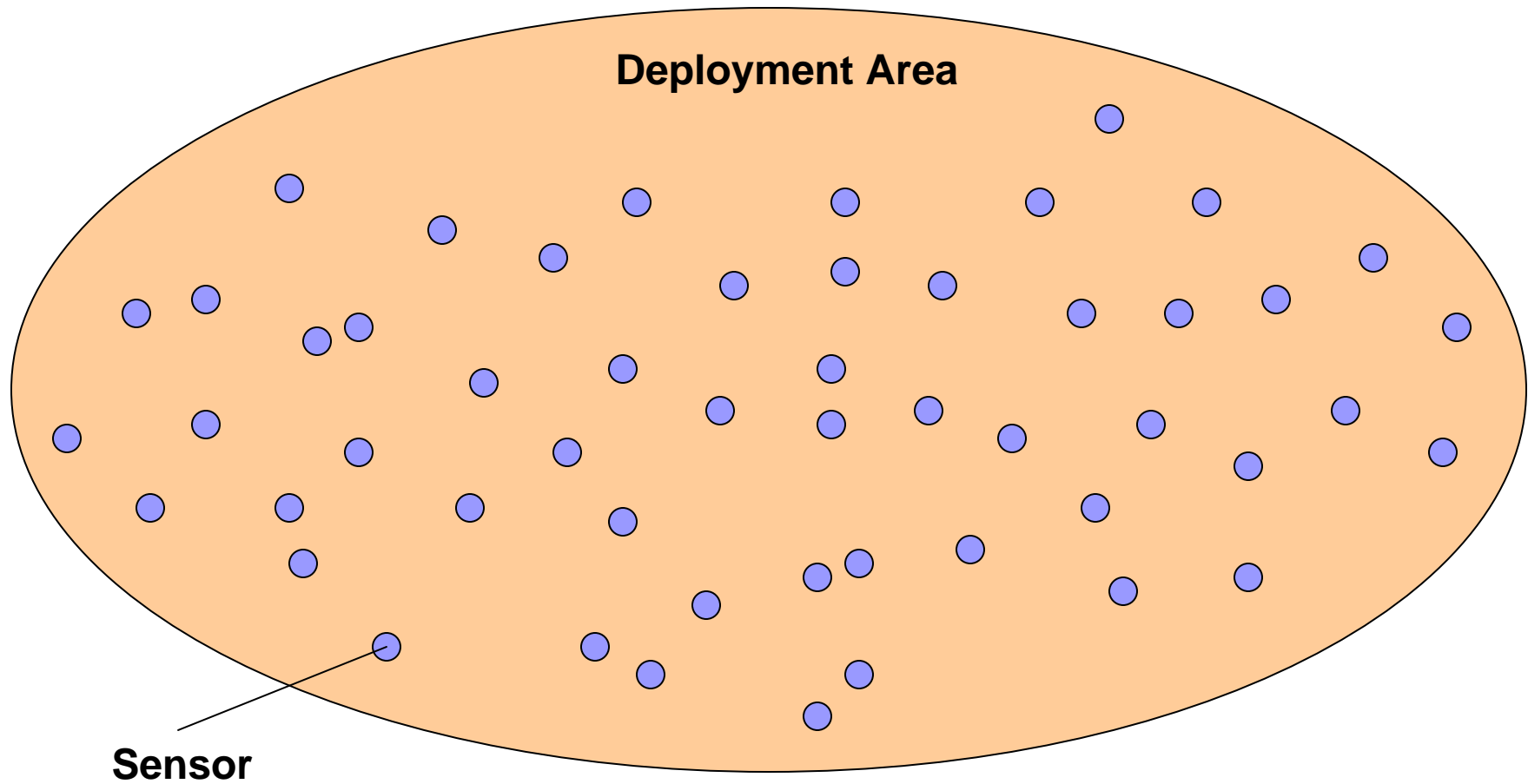




## Category 2 (High-end to Low-end)

- Relatively new research area
  - Wireless Communication (e.g. WLAN, GSM)
- Many standards and frameworks in only few years (IEEE 802.1x, EAP, and more)
- Constraints demand affordable and secure solution
- Public-key solutions for category 1 are considered difficult and/or expensive to implement if not impractical

# Category 3 - Setup





## Category 3 (Low-end to Low-end)

- Relatively very young research area
  - Ad hoc and sensor networks
- Limited number of solutions proposed
- Stringent constraints
- Lack of post-deployment configuration information
- Public-key solutions are considered impractical at this time
- Sensor devices are not considered tamper-proof (your private key is no more private)



# Solutions

- **Category 1 (High-end to High-end)**
  1. **Integrating DH into DSA (DH+DSA)**
  2. **AKE using Single Assumption**
  3. **Non-public key Digital Certificate**
  
- **Category 2 (High-end to Low-end)**
  1. **Wireless AKE protocol using only RSA**
  
- **Category 3 (Low-end to Low-end)**
  1. **Modeling Pairwise Key Establishment**
  2. **RINK-RKP**
  3. **Source-Routing-based Pairwise Key Establishment**



# Overview of DH + DSA

- Integrating well-known Diffie-Hellman and Digital Signature Algorithm
- Three AKE protocols proposed for different applications
- Provides resistance to the *known-key attack*, the *unknown key-share attack*, and the *key replay attack*
- *Published in IEEE Communication Letters, 2004*

# Overview of DH + DSA

Three round protocol with key confirmation

Step	User A	User B
1	Select random integer $v$ $m_A = g^v \text{ mod } p$	
	$\xrightarrow{m_A}$	
2		Select random integer $w$ $K_{BA} = (y_A)^w \text{ mod } p$ $K_{AB} = (m_A)^{x_B} \text{ mod } p$ $m_B = g^w \text{ mod } p$ $r_B = m_B \text{ mod } q$ $s_B = ((w)^{-1}(H(m_B  K_{BA}  K_{AB}) + x_B r_B)) \text{ mod } q$
	$\xleftarrow{(m_B, s_B)}$	
3	$K_{AB} = (y_B)^v \text{ mod } p$ $K_{BA} = (m_B)^{x_A} \text{ mod } p$ $r_B = m_B \text{ mod } q$ Verify DSA signature $(r_B, s_B)$ of message $m_B$ $r_A = m_A \text{ mod } q$ $s_A = ((v)^{-1}(H(m_A  K_{AB}  K_{BA}) + x_A r_A)) \text{ mod } q$	
	$\xrightarrow{s_A}$	
4		$r_A = m_A \text{ mod } q$ Verify DSA signature $(r_A, s_A)$ of message $m_A$



# Overview of AKE on Single Assumption


- Proposed an argument for building AKE protocols with minimum number of cryptographic assumptions
- Presented three one-assumption protocols based on DL, RSA, and ECC
- Presented security analysis for all three protocols
- *Published in IEE Proceedings of Communication Letters, 2005*



# Overview of AKE on Single Assumption

DL-based  
one-assumption  
AKE protocol

Run	Step	Computation	
1	1	$C_A^1$	A selects $k_A$
	2		A computes $r_A$
1	3	$C_B^1$	B selects $k_B$
	4		B computes $r_B$
	5		B computes $k_{AB} = (r_A)^{k_B} \bmod p$
	6		B computes $s_B = k_{AB}^{-1}(K_B - r_B k_B) \bmod q$
2	7	$C_A^2$	A verifies $R_B$ by checking $\text{cert}(R_B)$
	8		A computes $k'_{AB} = (R_B)^{k_A} \bmod p$
	9		A verifies $s_B$ and $k'_{AB}$ by checking $R_B \stackrel{?}{=} (r_B)^{r_B} (\alpha)^{(s_B k'_{AB})} \bmod p$
	10		A computes $k_{BA} = (r_B)^{k_A} \bmod p$
	11		A computes $s_A = k_{BA}^{-1}(K_A - r_A k_A) \bmod q$
3	12	$C_B^3$	B verifies $R_A$ by checking $\text{cert}(R_A)$
	13		B computes $k'_{BA} = (R_A)^{k_B} \bmod p$
	14		B verifies $s_A$ and $k'_{BA}$ by checking $R_A \stackrel{?}{=} (r_A)^{r_A} (\alpha)^{(s_A k'_{BA})} \bmod p$

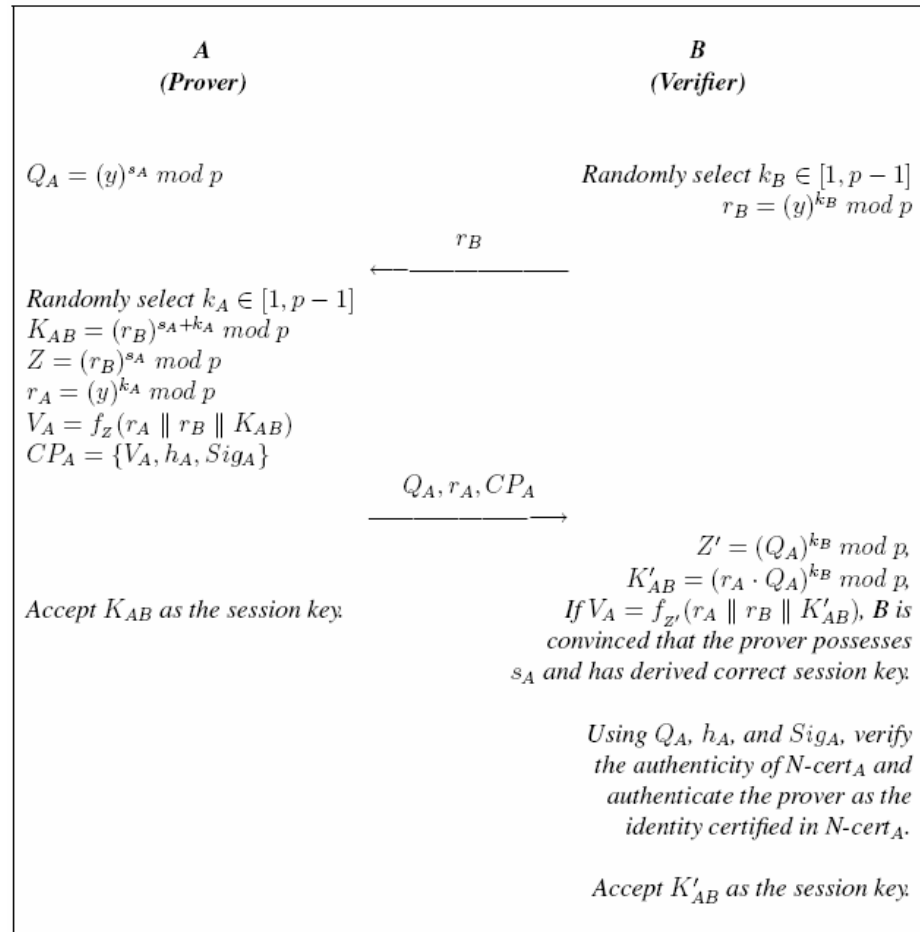


# Overview of Non-public key digital certificates

- Innovative asymmetric-key solution for Authentication and Key Establishment
  - No need for generating public key pair for each user
- Solutions can directly convert real-world certificates into digital form (*N-cert*)
- The solution can be easily converted to an identity-based AKE system

# Overview of Non-public key digital certificates

One-way AKE  
using *N-cert*





# RINK-RKP

- **Goal:**
  - Secure communication among sensors
- **Scheme:**
  - Random Key Predistribution and Shared-key Discovery
- **Main Constraints:**
  - Computational power, storage, energy, bandwidth
- **Main categories of existing solutions:**
  - Purely Random Key Predistribution (P-RKP)
  - Structured Key-pool RKP (SK-RKP)
- **Proposed solution (*IEEE IPCCC 2005*):**
  - More secure
  - Power efficient

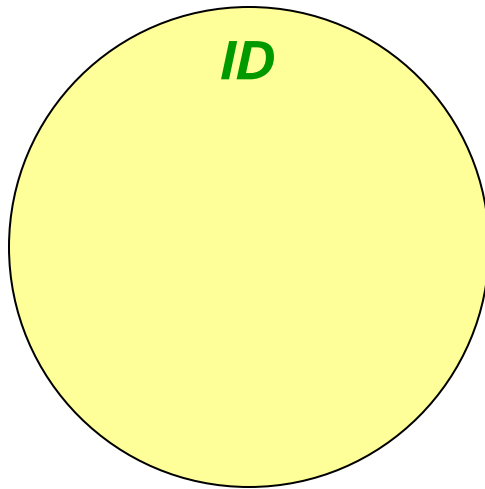


# Phases in RKP Schemes

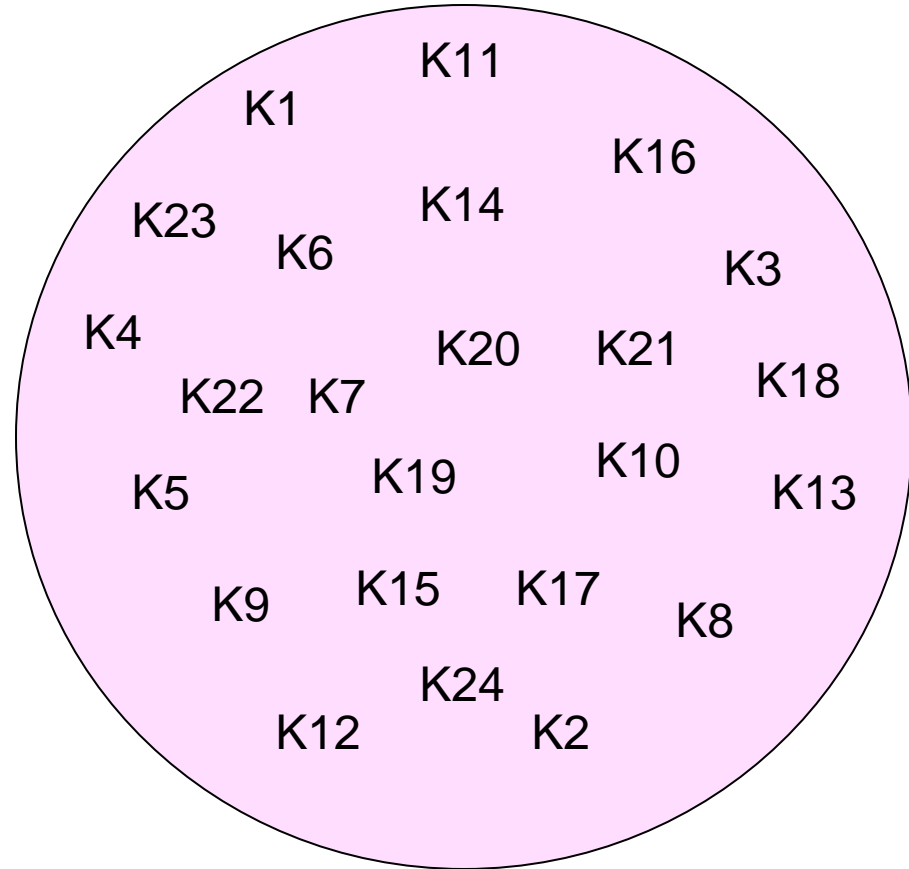
1. **Key Predistribution** ✓
  - Select and install keys in sensors
2. **Sensor Deployment**
  - Place the sensors
3. **Shared-key Discovery** ✓
  - Sensors find common (shared) key(s)
4. **Pairwise Key Establishment**
  - Those who don't find shared key(s), take help from others.

# Existing RKP Schemes (Phase 1)

## ■ P-RKP



Sensor –  $m$  keys

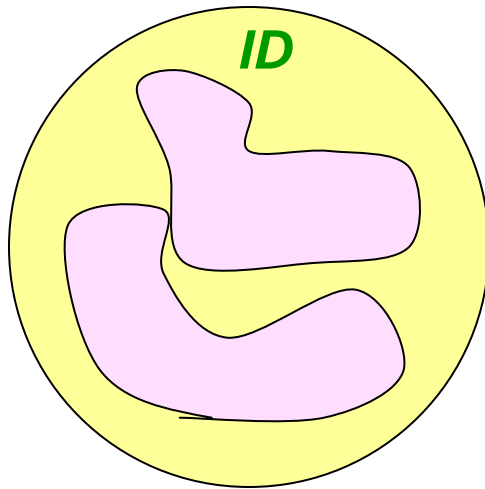


KEY POOL – Size  $n$

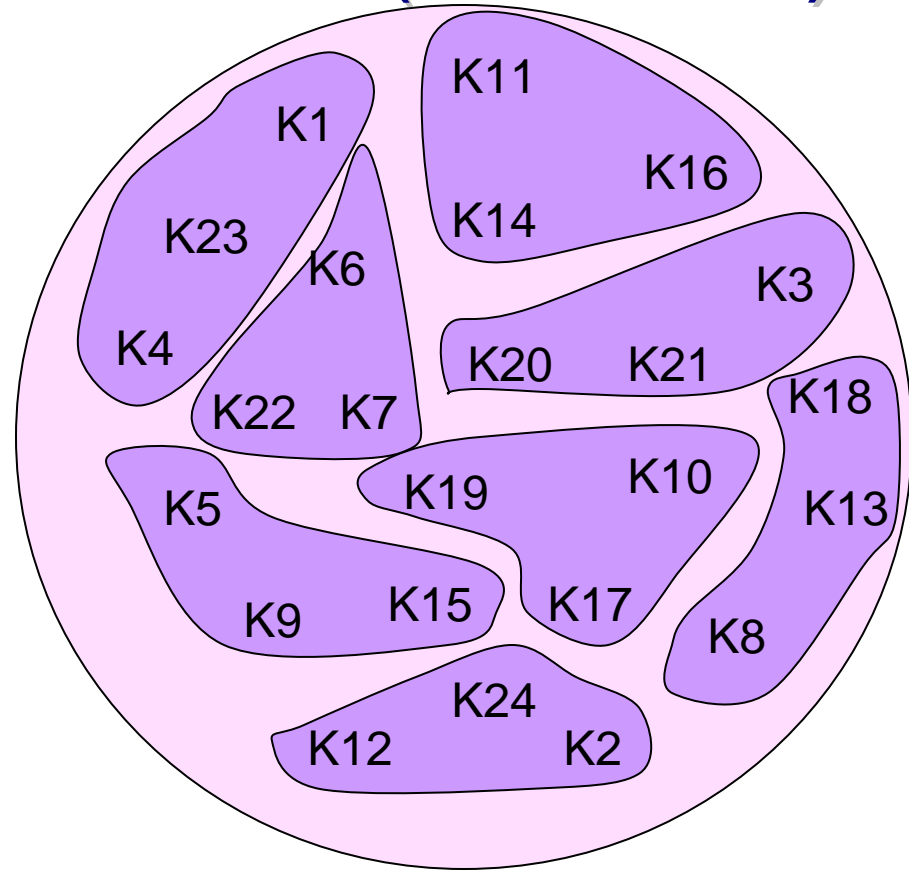
$$m \ll n$$

# Existing RKP Schemes (Phase 1)

## ■ SK-RKP



Sensor –  $m$  keys



KEY POOL – Size  $n$

$$m \ll n$$

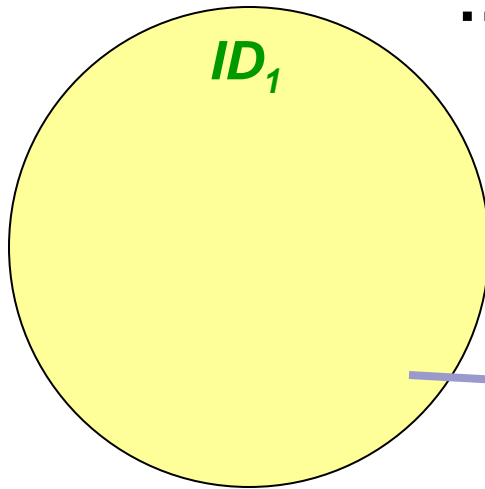
# RINK-RKP (Phase 1)

$$F(\quad) = K12$$

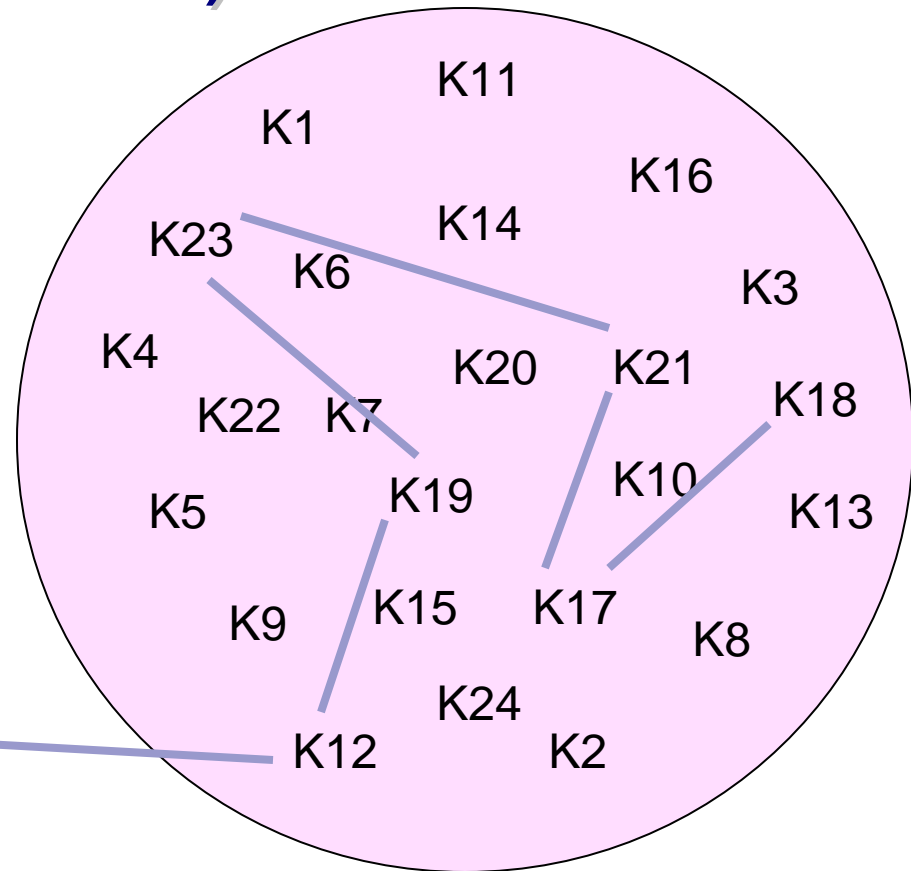
$$F(K12) = K19$$

$$F(K19) = K23$$

...



Sensor –  $m$  keys



KEY POOL – Size  $n$

$$m \ll n$$

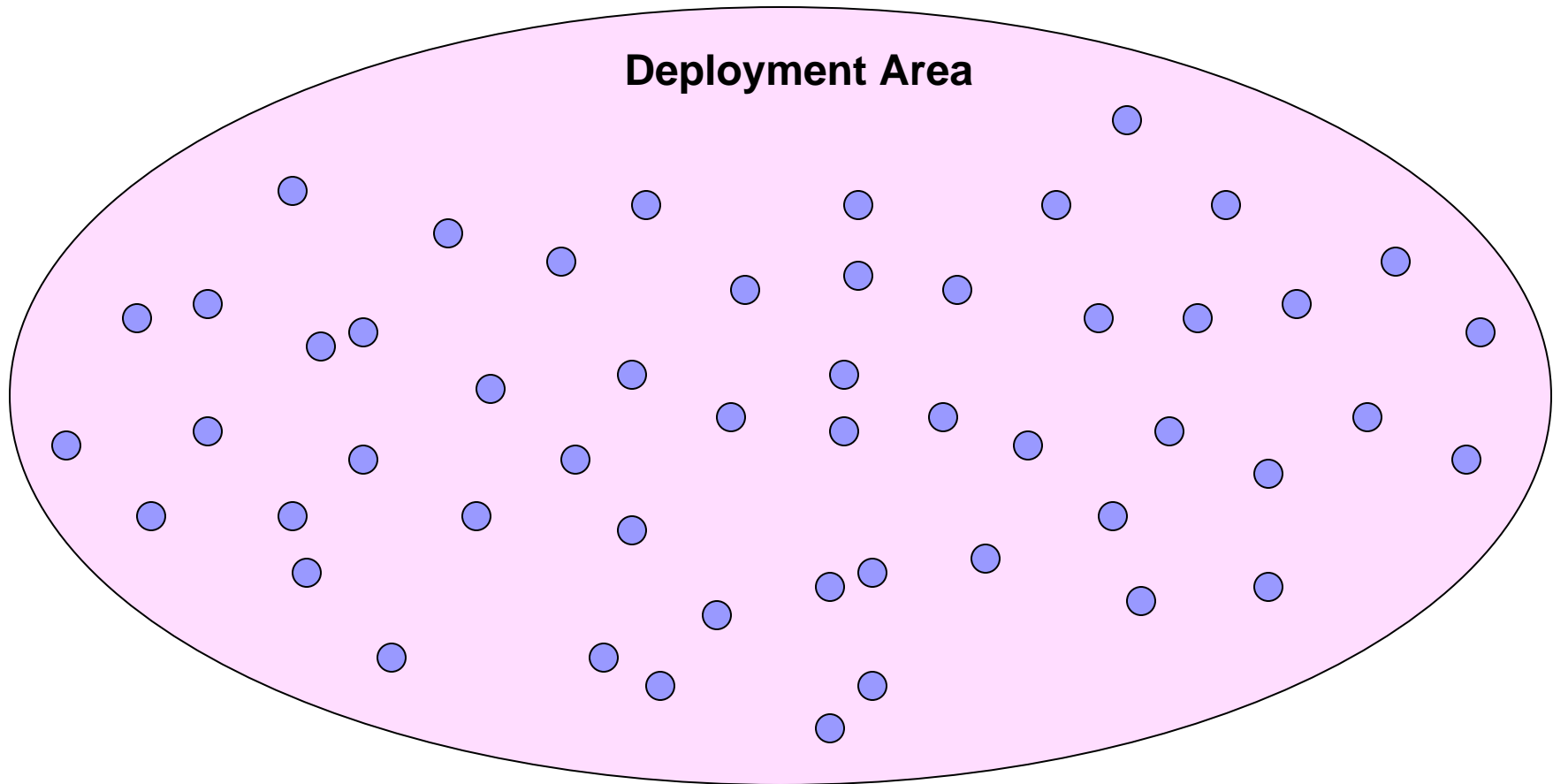


# RINK-RKP (Phase 1 - Algorithm)

## Key Predistribution Algorithm

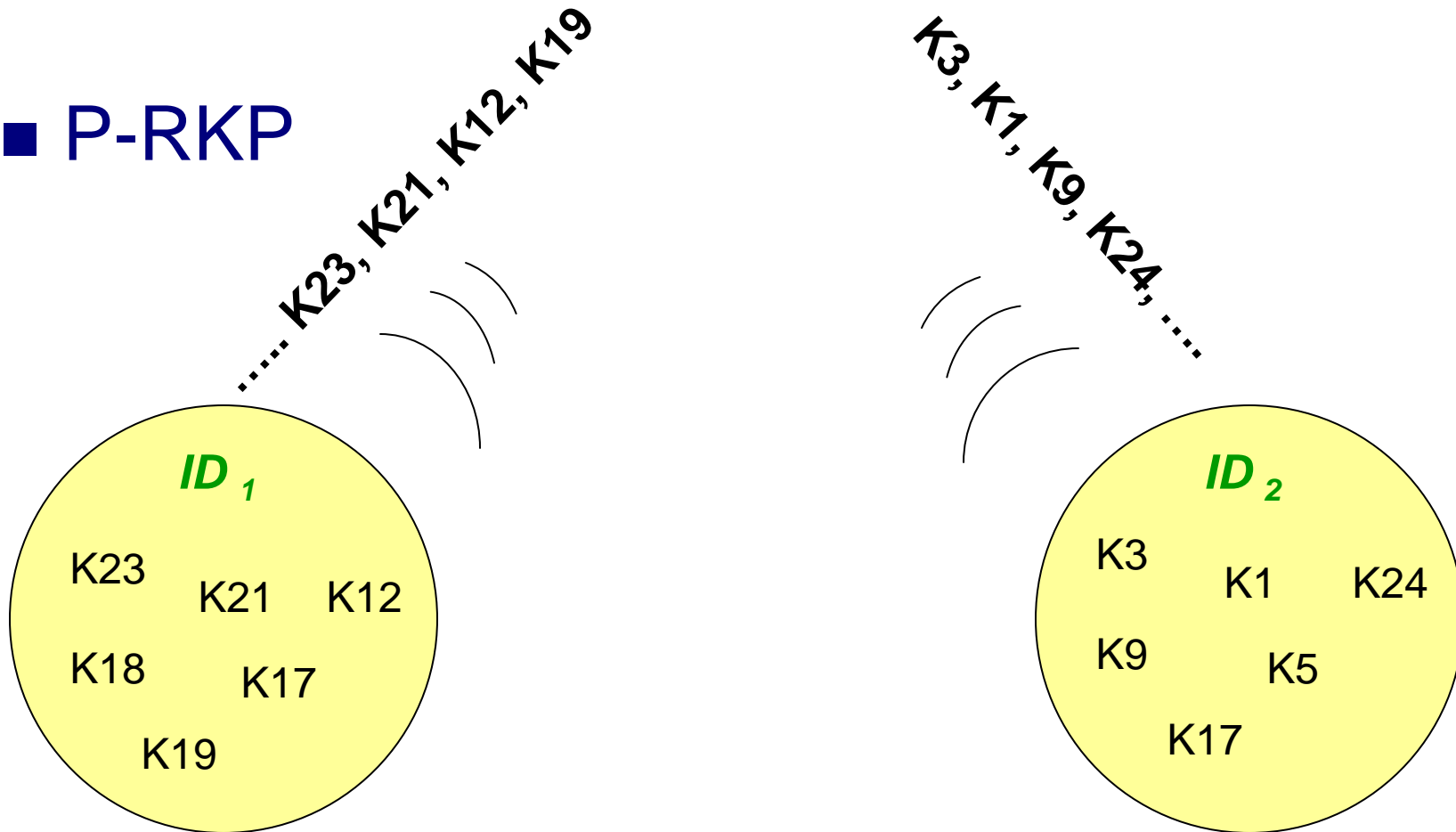
1. Pick a unique identifier  $id$  for a sensor.  
Initialize  $Output = \{1\}^z$
2. for  $i = 1$  to  $m$ 
  - 2.1. if  $(i \leq 2)$ 
    - 2.1.1. then  $PrevKey = 0$
    - 2.1.2. else  $PrevKey = k_{i-2}^{id}$
  - 2.2.  $M_i^{id} = Output \parallel PrevKey \parallel id$
  - 2.3.  $Output = H(M_i^{id})$
  - 2.4. if  $(Output \geq 2^z - 1 - (2^z \bmod P))$ 
    - 2.4.1. then  $i = i - 1$ , goto step 2
  - 2.5.  $Key = Output \bmod P$
  - 2.6. if ( $Key$  has already been generated for this sensor)
    - 2.6.1. then  $i = i - 1$ , goto step 2
  - 2.7.  $k_i^{id} = Key$
  - 2.8. add  $k_i^{id}$  to  $KC_{id}$
3. Store  $id$ ,  $KC_{id}$ , and corresponding keys ( $Y$ 's) in the sensor.

# Sensor Deployment (Phase 2)



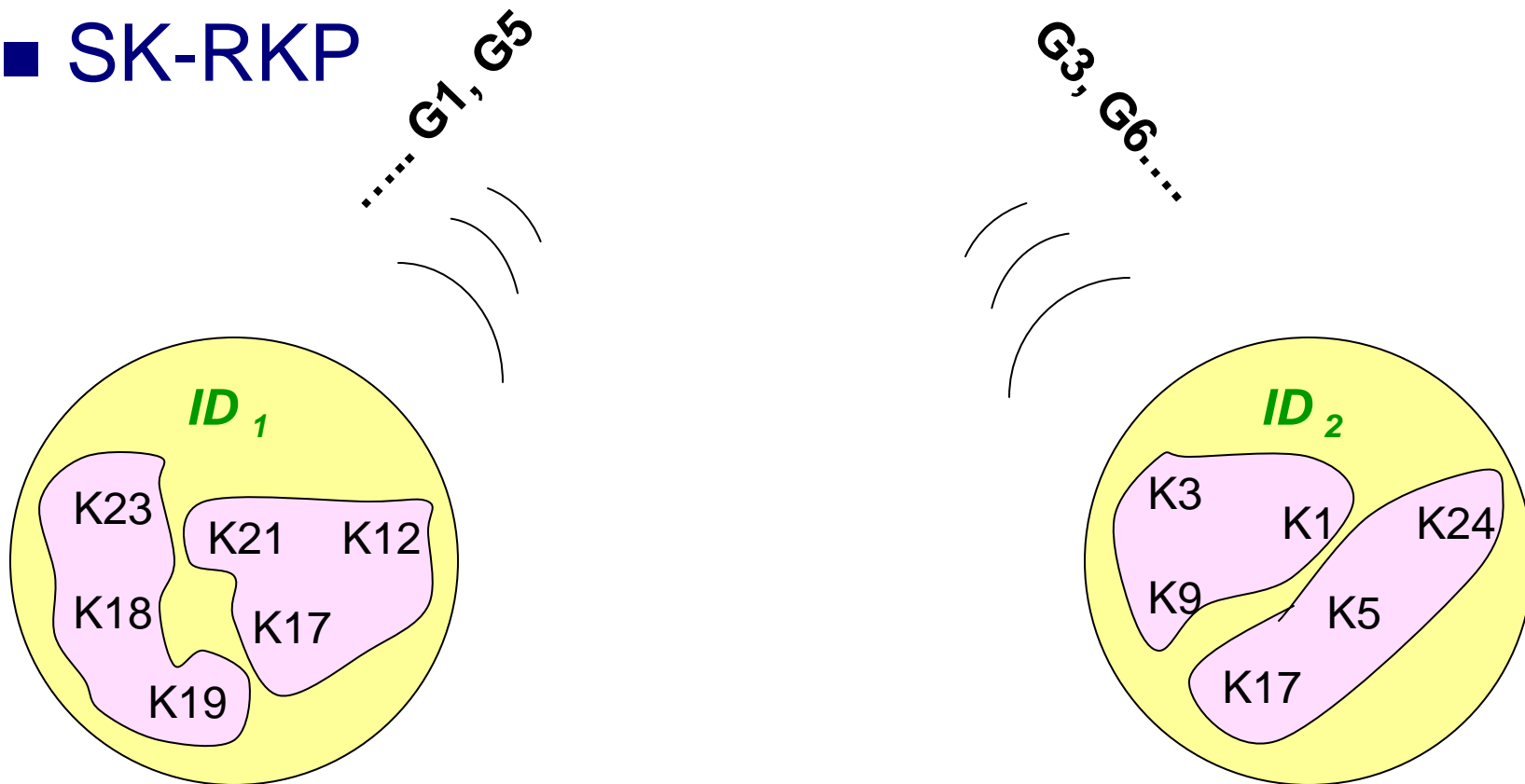
# Shared-Key Discovery (Phase 3)

## ■ P-RKP



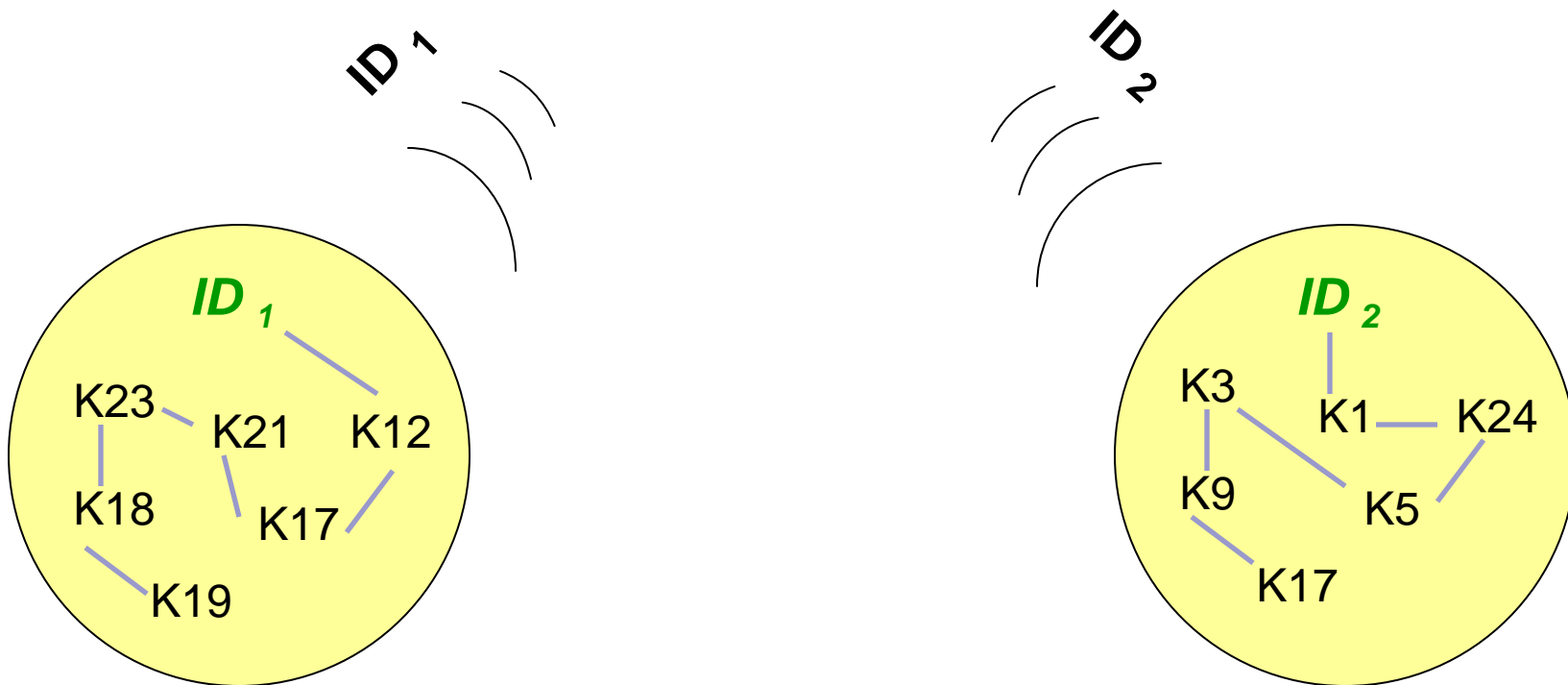
# Shared-Key Discovery (Phase 3)

## ■ SK-RKP



# Shared-Key Discovery (Phase 3)

## ■ RINK-RKP



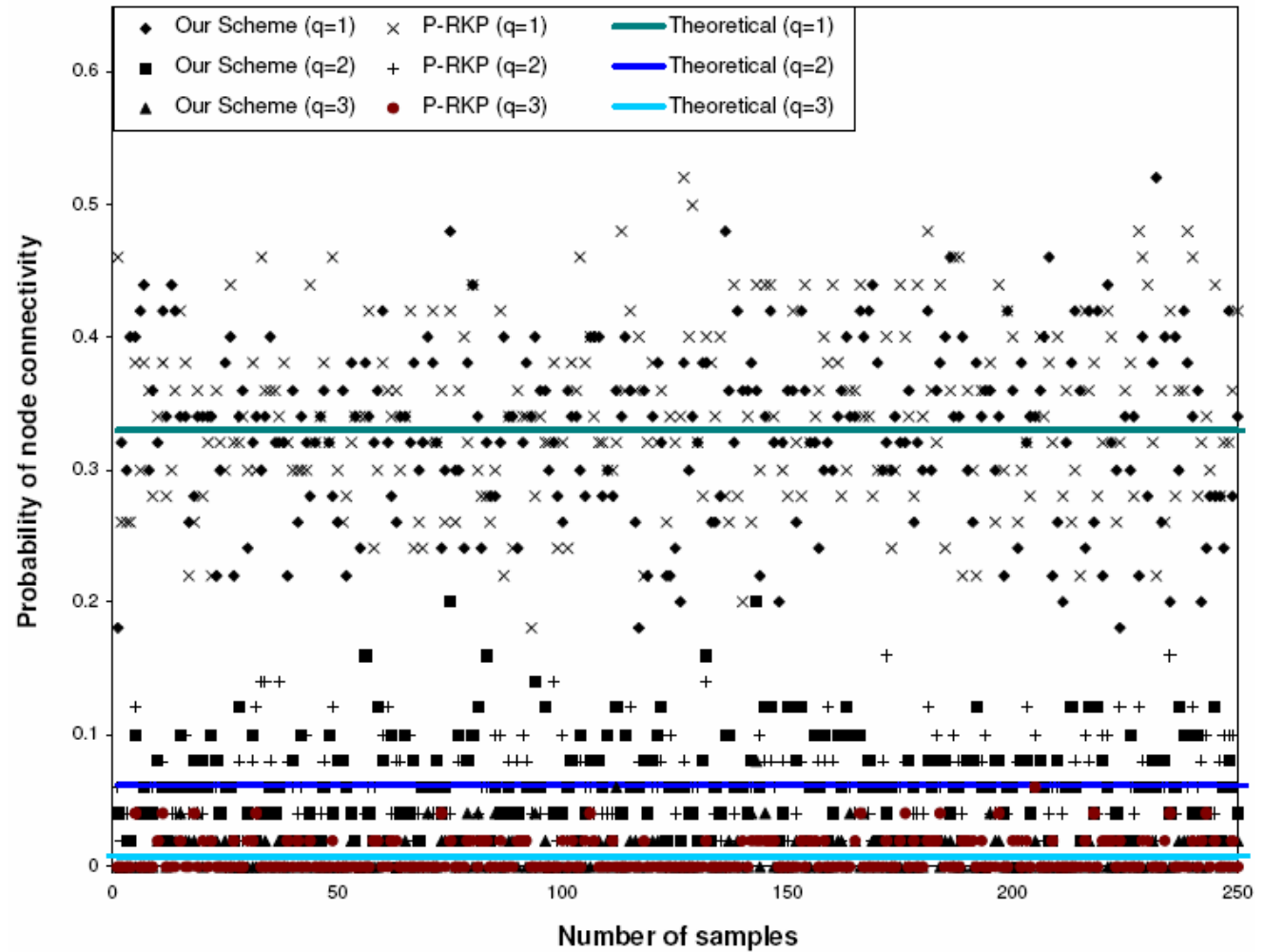
# RINK-RKP (Phase 3 - Algorithm)

## Shared Key Discovery Algorithm

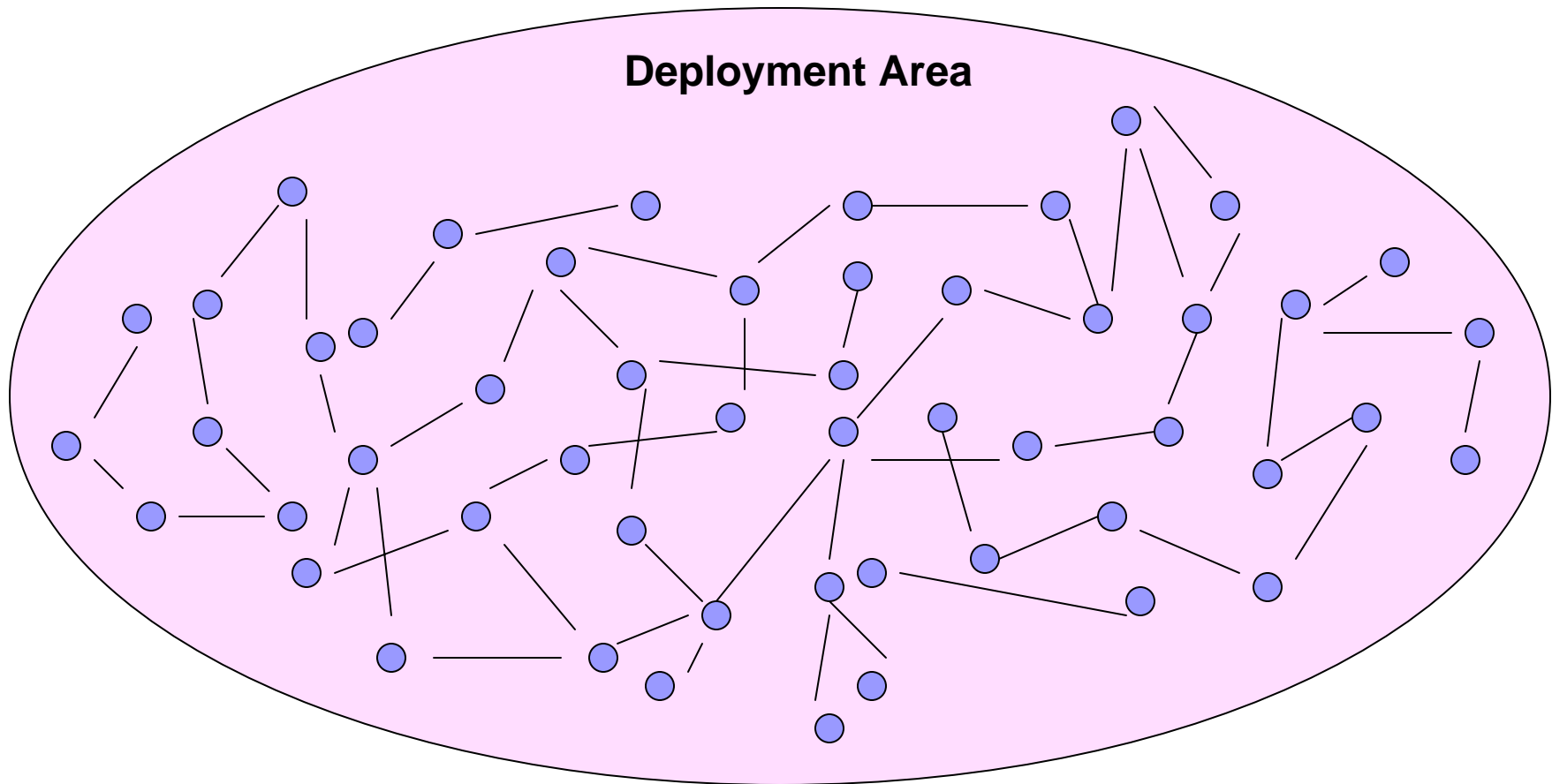
1. Broadcast node identifier,  $i$
2. Receive node  $ids$  transmitted by neighbors and generate set of neighbors,  $W$ . Generate *key graph* with elements of  $W$  as vertices.
3. for ( $\forall j \in W$ ) do
  - 3.1. Generate  $KC_j$  using the node identifier,  $j$ , as the input to Procedure 1
  - 3.2. Generate  $Q$ , a set of key values ( $Y$ s) corresponding to common key identifiers in  $KC_i$  and  $KC_j$ .
  - 3.3. if ( $|Q| \geq q$ ) then
    - 3.3.1. Compute the shared-key  $K_{ij}$  as  $\oplus\{Q\}$
    - 3.3.2. Add a link between node  $i$  and node  $j$  in the *key graph*
4. Stop.

# Simulation Results

$P = 10000$   
 $m = 200$



# After Phase 3







## So what is different in RINK-RKP ?

- Previous approaches do not use node *ID* for key selection, we do !
- That is we define  
**RINK** = **R**elation between **ID** a**Nd** **K**ey



# Security Analysis – Problem 1

## - Reasons

- Unattended deployment environment
  - Physically insecure
- No tamper-resistance due to low cost
  - Compromised sensor can reveal the stored keys.



## Problem-1 (Capturing Nodes)

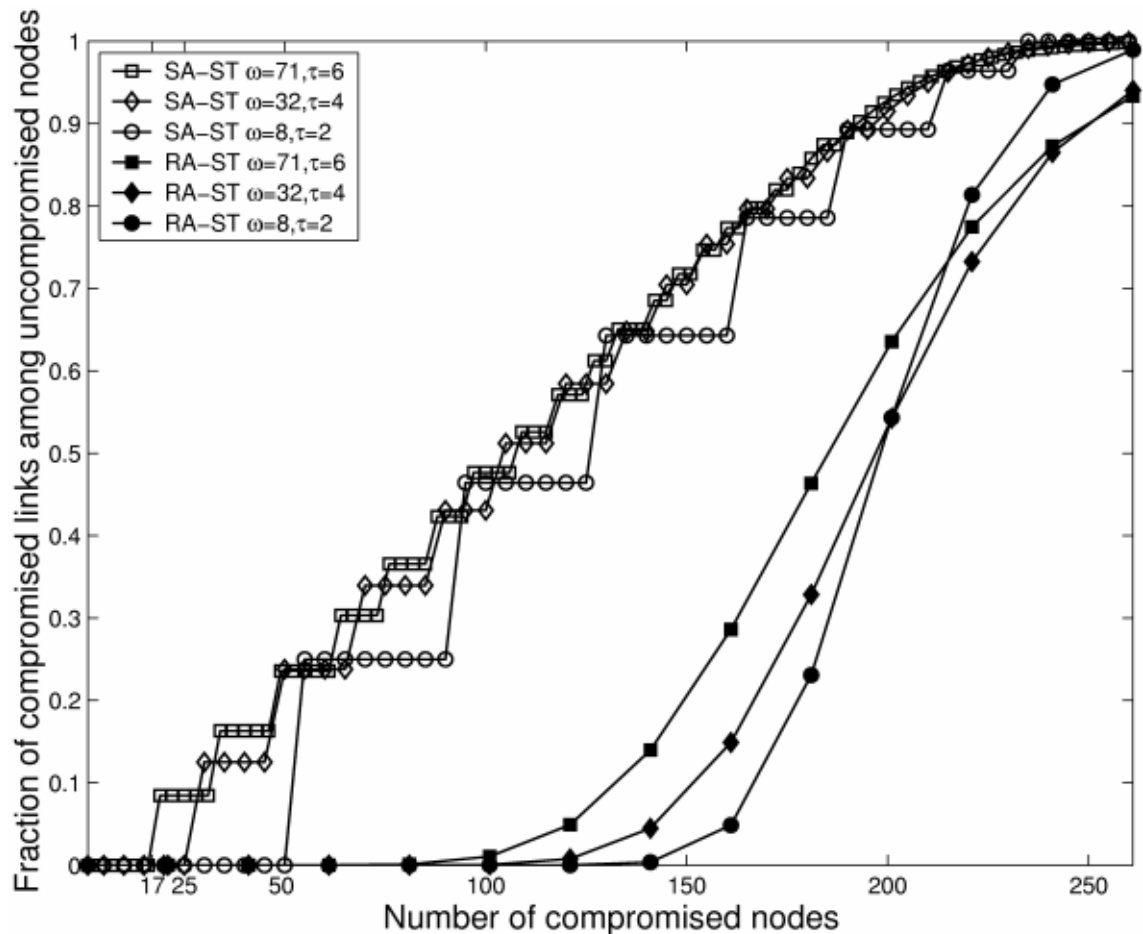
- **Random Capture** (*naïve approach*)
  - Randomly pick nodes and obtain keys
- **Selective Capture** (*proposed approach*)
  - Pick sensors that can give you keys that you do not already have

# Random vs. Selective Capture

- **SK RKP**  
affected the most
- **P RKP** and **RINK- RKP**  
not affected much

$m=100$

$p_{\text{connect}} = 0.432$





# Security Analysis – Problem 2

## - Reasons

- **Wireless environment**
  - Passive listening is easy
- **Unattended deployment environment**
  - Fake sensors can be added to the system (proposed attack)



## Problem - 2 (Deploying fake sensors)

- Learn keys from captured nodes and fabricate fake nodes
- Fake nodes have enough keys to appear legitimate to other sensors
- Fake nodes can
  - Inject / Absorb sensed data
  - Alter data in specific way

## Problem - 2 (Deploying fake sensors)

Probability that a fake node can establish a key with a given neighbor when  $x$  nodes are captured

$$p_f(x) = \frac{1}{p_{connect}} \sum_{i=q}^m \frac{\binom{P}{m} \binom{m}{i} \binom{P-m}{m-i}}{\binom{P}{m}^2} \left( \frac{C_x}{P} \right)^i$$

Where,

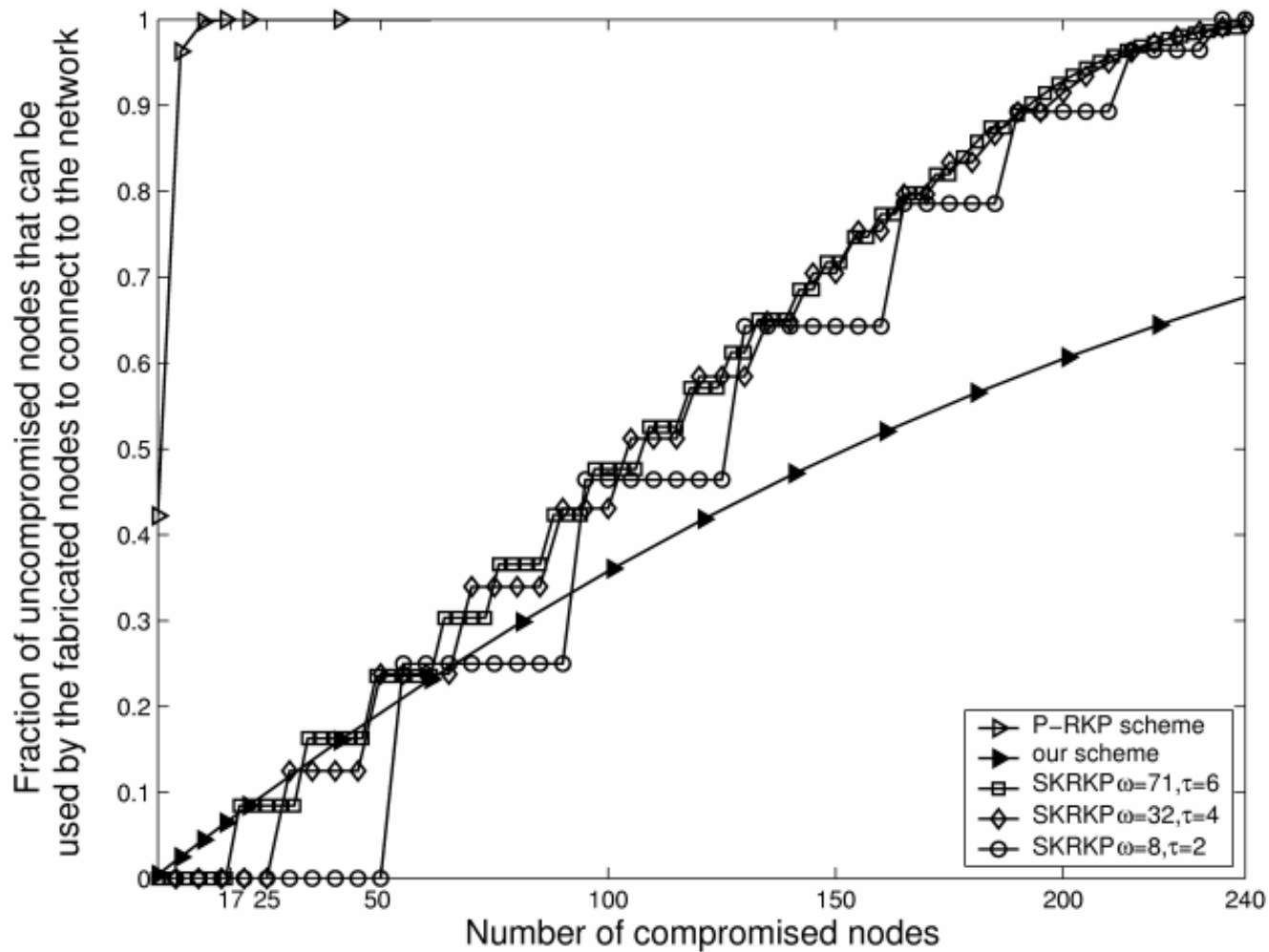
$$C_x = \left[ 1 - \left( 1 - \frac{m}{P} \right)^x \right] \cdot P$$

$m$  = number of keys in each sensor

$P$  = size of the keypool

$q$  = required number of keys to be shared

# Damage by fake sensors







# Energy Consumption Analysis

Energy consumption in Sensoria's sensor node

Operation	Energy
Transmission at 10 kbps, 10 mW	0.021 mJ/bit
Reception at 10 kbps	0.014 mJ/bit
SHA-1 Hash	0.0000072 mJ/bit
128-bit modular multiplication	0.000115 mJ

# Energy Consumption Analysis

## Computational overhead

Overhead	P-RKP scheme	SK-RKP		Our scheme
		One seed	$\lambda$ seeds	
# of comparison operations	$n'(m \log m)$	$n'(\tau \log \tau)$	$n'(\tau \log \tau)$	$n'(m \log m)$
# of hash operations	0	0	0	$n'm$
# of modular multiplications	0	$(2\lambda)p_{connect}$	$(\lambda + 1)p_{connect}$	0

$m =$  number of keys in each sensor

$n' =$  number of neighbors

Generally  $\lambda, \tau, n' \ll m$

# Energy Consumption Analysis

## Communication overhead

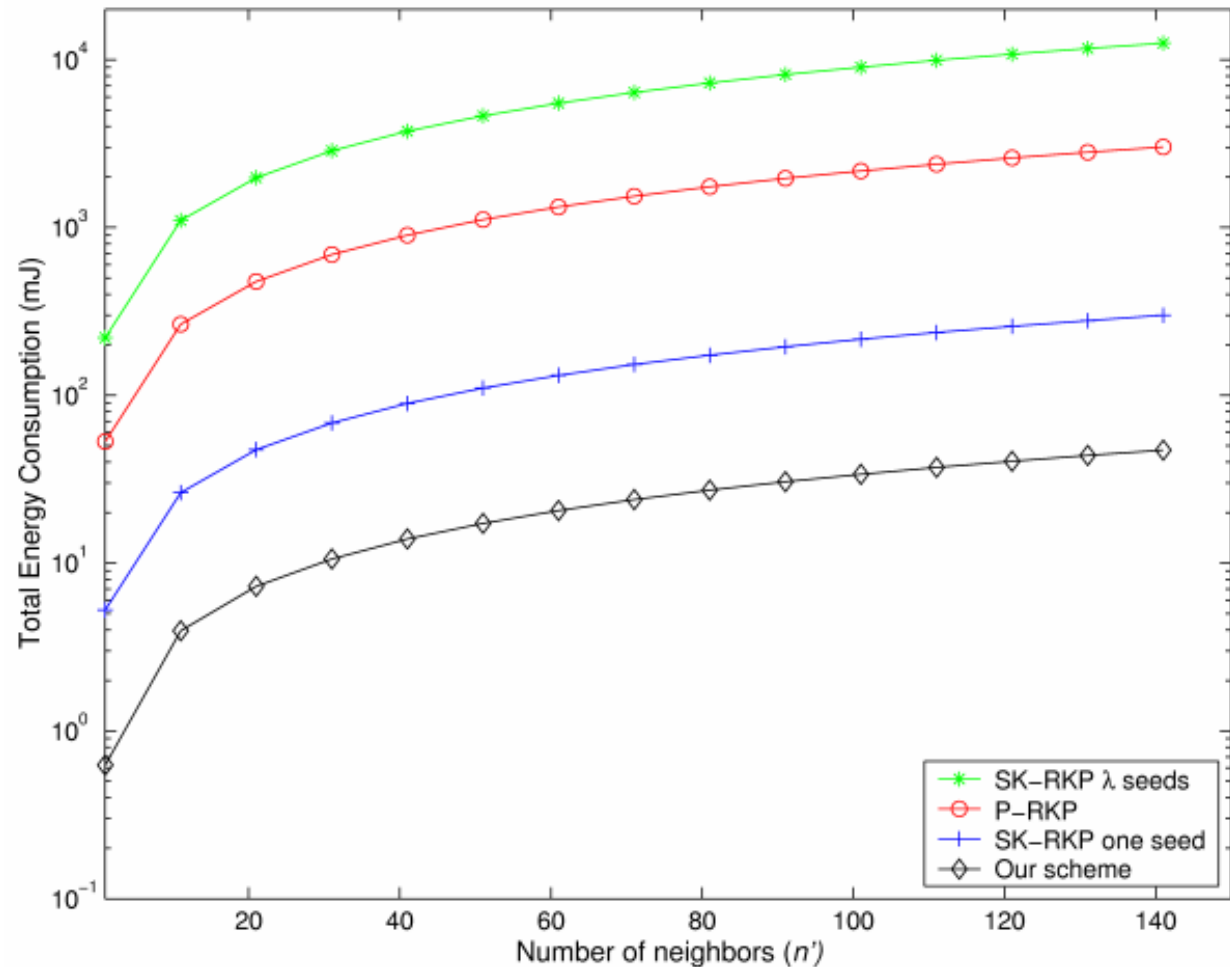
Overhead		P-RKP schemes	SK-RKP scheme		Our scheme
			One seed	$\lambda$ seeds	
Transmission	Node <i>id</i> (bits)	$\log N$	$\log N$	$\log N$	$\log N$
	Seeds ( <i>s</i> bits/seed)	0	<i>s</i>	$\lambda s$	0
	Key <i>ids</i> (bits)	$m \log P$	$\tau \log \omega$	$\tau \log \omega$	0
	Total ( $T_{tr}$ ) bits	$\log N + m \log P$	$\log N + s + \tau \log \omega$	$\log N + \lambda s + \tau \log \omega$	$\log N^{\ddagger}$
Reception	Node <i>ids</i> (bits)	$n' \log N$	$n' \log N$	$n' \log N$	$n' \log N$
	Seeds ( <i>s</i> bits/seed)	0	$n' s$	$n' \lambda s$	0
	Key <i>ids</i> (bits)	$n' m \log P$	$n' \tau \log \omega$	$n' \tau \log \omega$	0
	Total ( $T_{rev}$ ) bits	$n'(\log N + m \log P)$	$n'(\log N + s + \tau \log \omega)$	$n'(\log N + \lambda s + \tau \log \omega)$	$n' \log N^{\ddagger}$

<sup>‡</sup>: In our scheme, the key *ids* are generated by the hash function.

*N* = Total number of sensors  
*P* = Size of the keypool  
*s* = Size of the keys (128 bit)

# Energy Efficiency

$P = 23700$   
 $N = 10000$   
 $m = 100$   
 $\omega = 11$   
 $\lambda = 49$   
 $\tau = 2$   
 $s = 128$   
 $P_{connect} = 0.3455$





# Contributions in RINK-RKP solution

- Introduced smart attacks
- Showed that the existing solutions were very vulnerable to the smart attacks
- Proposed a new Predistribution and Shared-key Discovery scheme
- Provided Security and Energy Consumption Analysis



# Conclusion

- There is no silver bullet for AKE
- Identifying constraints first makes AKE design process more effective
- Changes in technology can quickly make AKE design obsolete
- Continuous efforts are required to make AKE process more secure and efficient



# Future work

- Towards Perfect Security
  - Mathematical proof
- New Attacks
  - Attackers are getting smarter by the day
- Advances in Technology
  - Computational power



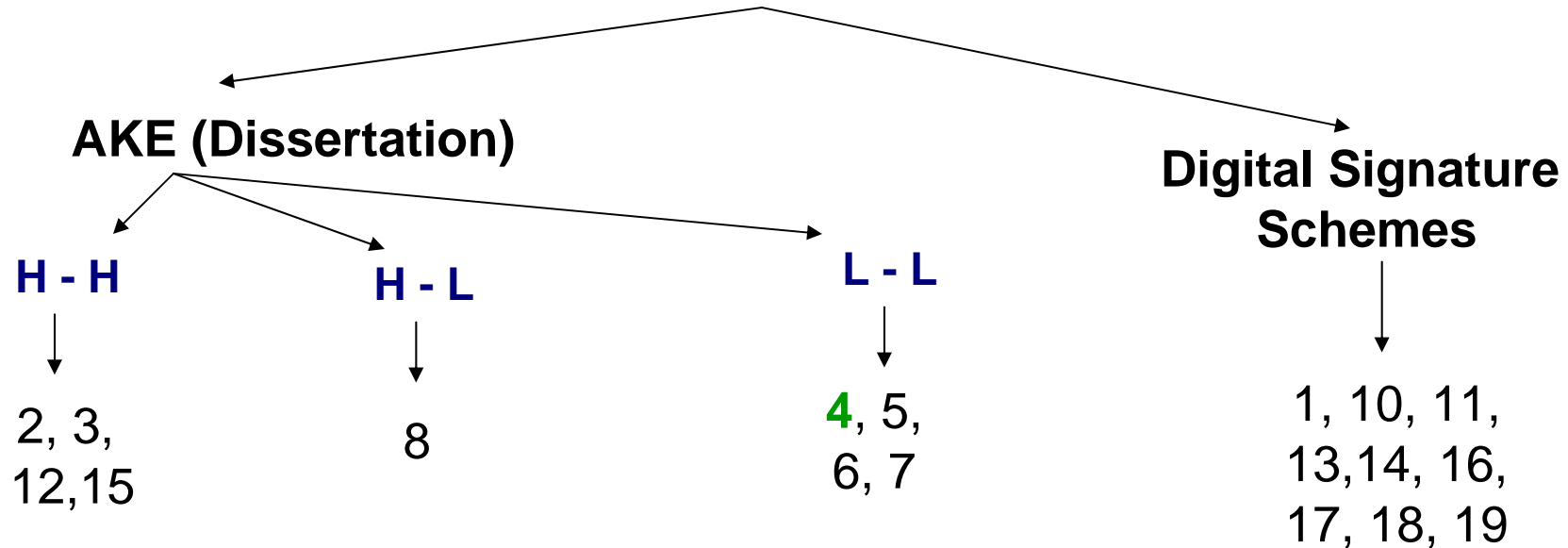
# Publications

*(Complete list in the handout)*

- 4 Refereed Journals (IEE, IEEE, ACM)
- 3 Refereed Conferences (IEEE, ACM)
- 6 Technical Reports (UMKC)
- 1 Book Chapter (IEEE)



# Ph.D. Research (Overall)



## Statistics

Approx. **38.88** % of Total Ph.D. research is included in Dissertation.

Approx. **71.42** % of Dissertation material is published.

Approx. **60** % of Total Ph.D. research is yet to be published.



# Ph.D. beyond Dissertation

- Reviewer for IEEE journals, conferences, magazines, and books chapters.
- Grant writing
  - NSF
  - Univ. of Missouri Research Board
- Earned CISSP Certificate
- One year of industry experience as a Security Consultant

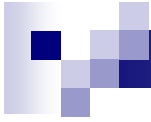


# Special Thanks

- Dr. Appie van de Liefvoort
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- Amit Sinha
- Gaurav Agarwal
- Dr. Jayesh Kumaran
- Chaitanya Garikiparthi
- Royall Hall coffee machine
- Taco bell
- Souper Salad
- ...



# Questions?



<http://research.manishmehta.com>